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- 1. 37 CFR 1.28(c) Notification (2 pgs.)
- 2. Fee Transmittal in duplicate (2 pgs.)
- 3. Certificate of Transmission (1 pg.)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF TRANSMISSION/MAILING (37 CFR 1.8)

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Patti Hespell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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STREAMS				

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY (37 CFR 1.28(c))

The above noted patent application was filed and prosecuted as a small entity. While the assignee of the patent application is and has always been a small entity (Expanse Networks, Inc is the current assignee of the complete interest), a license was granted to Next Level Communications, Inc for the period of time from December 15, 1999 to January 15, 2002. Applicants erroneously believed that the patent application was still entitled to small entity status during this time frame. Applicant has recently discovered that the license to Next Level Communications, Inc. (possibly a large entity for at least a portion of this time) may have affected their small entity status during this time frame.

Accordingly, Applicant has diligently gathered data related to fees paid as a small entity during the term of the license. The table on the next page summarizes for each applicable action (item and date) the fees paid as a small entity, the current large entity fee, and the current amount owed as a result of small entity payment error.

The above noted patent application is currently entitled to small entity status.

37 CFR 1.28(c) Notification

-1-

Patent Application No. 10/031,142

Item	Date	Small Entity Fee Paid	Current Large Entity Fee	Amount to be Paid
Basic 371 Application Filing Fee	11/9/01	\$355	\$730	\$375
Amendment - Additional Claim Fees (17 claims)	11/9/01	\$153	\$306	\$153
TOTAL				\$528

Please charge the above noted fees to Deposit Account 501535.

Respectfully submitted,

Douglas J. Ryder, Esquire

Rog. No. 43,073

Expanse Networks, Inc. 6206 Kellers Church Road Pipersville, PA 18947

Phone: (215) 766-2100 Fax: (215) 766-2920 Date: July 9, 2004

Signature

PTO/SB/17 (10-03) Approved for use through 07/31/2008. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete If Known FEE TRANSMITTAL 10/031,142 **Application Number** 9 NOV 2000 Filing Date for FY 2004 **ELDERING** First Named Inventor Effective 10/01/2003. Patent fees are subject to ennual revision. UNKNOWN Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2611 (\$) 528.00 TOTAL AMOUNT OF PAYMENT T711-14 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order 3. ADDITIONAL FEES Check Credit card Other None Large Entity , Small Entity ✓ Deposit Account: **Fee Description** Code (\$) Code (\$) Fee Paid 501535 Account 2051 1051 130 65 Surcharge - late filling fee or oath Number Deposit Surcharge - late provisional filing fee or cover sheet 2052 1052 50 25 EXPANSE NETWORKS, INC. Account Name 1053 1053 130 130 Non-English specification The Director is authorized to: (check ell that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below Credit any overpayments 920" Requesting publication of SIR prior to Examiner action 1804 9201 1804 Charge any additional fee(s) or any underpayment of fce(s) Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after Examiner action 1805 1 840 1805 1 840* to the above-identified deposit account. 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 2252 210 Extension for reply within second month 1252 420 1. BASIC FILING FEE 1253 850 2253 475 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Description Code (\$) ode (\$) 1254 1,480 2254 740 Extension for reply within fourth month 2255 1,005 Extension for reply within fifth month 1255 2,010 1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filling fee 1401 330 2401 165 Notice of Appeal 1003 530 1402 330 2003 265 2402 165 Filing a brief in support of an appeal Plant filing fee 1004 770 2004 385 1403 290 2403 145 Request for oral hearing Reissue filino foo 1451 1005 160 2005 Provisional filing fee 1,510 1451 1,510 Petition to institute a public use proceeding 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (\$) 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1,330 1501 2501 665 Utility issue fee (or reissue) Ext<u>ra Claim</u>s below 1502 480 2502 240 Design issue fee **Total Claims** 0 -37** = 1503 640 2503 320 Plant Issue fee Independent Claims 2 - 3** = 0 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 50 1807 1807 50 Processing fee under 37 CFR 1.17(q) arge Entity Small Entity 1806 180 180 Submission of Information Diaglosure Strnt Fee Description 40 Recording each patent assignment per Code (\$) Code (\$) 8021 40 8021 property (times number of properties) 1202 Claims in excess of 20 18 2202 770 385 Filling a submission after final rejection (37 CFR 1.129(a)) 1809 2809 1201 86 2201 Independent claims in excess of 3 43 1203 290 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 1810 770 2810 1204 88 2204 43 Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE) " Reissue daims in excess of 20 900 Request for expedited examination 1802 900 1802 1205 18 2205 9 and over original patent of a design application 37CFR 1.28(c) Notification Other fee (specify) [(\$) 0.00SUBTOTAL (2) "Reduced by Basic Filing Fee Paid SUBTOTAL (3) **or number previously paid, if greater; For Relssues, see above (\$) 528.00 SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Douglas J. Ryder 43,073 Telephone 215-766-2100

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